#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

WOBBEN

Examiner:

T. LAM

Serial No.:

09/446,831

Group Art Unit:

2834

Filed:

**FEBRUARY 17, 2000** 

Docket:

7468.178USWO

Confirmation

2960

No.:

Due Date:

**DECEMBER 30, 2003** 

Title:

SYNCHRONOUS GENERATOR FOR SERVICE IN WIND POWER PLANTS AS WELL AS

A WIND POWER PLANT

**CERTIFICATE UNDER 37 CFR 1.8:** 

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

23552

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached:

☐ Transmittal Sheet in duplicate containing Certificate of Mailing

Supplemental Information Disclosure Statement, Form 1449, 6 Reference(s), Copy of Japanese Official Action

Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C. P.O. Box 2903, Minneapolis, MN 55402-0903 612.332.5300

Name: Thomas J.

Reg. No.: 53,950 TStrouse:PLSkaw

**PATENT** 

09/446,831

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 14, 2003.

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(c))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. At least some of the references were recently cited in a co-pending Japanese Official Action mailed September 30, 2003.

This statement should be considered because it is submitted after the mailing date of a first Office Action on-the-merits or a first Office Action after filing a Request for Continued Examination under 37 C.F.R. § 1.114 or a CPA under 37 C.F.R. § 1.53(d), but before the mailing date of: i) a final action under 37 C.F.R. § 1.113; ii) a Notice of Allowance under 37 C.F.R. § 1.311; or iii) an action that otherwise closes prosecution on the application. No fee is due as this statement is submitted as certified below under 37 C.F.R. §1.97(e)(1) or (2) by the undersigned.

## Certification Under 37 C.F.R. §1.97(e)(1)

In accordance with 37 C.F.R. §1.97(c) or §1.97(d), the undersigned hereby certifies that each item of information listed on the enclosed Form 1449 was first cited in a communication

from a foreign patent office in a counterpart foreign application within three months of filing this statement.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided. Enclosed for the Examiner's information is a copy of the Japanese Official Action. Applicant notes German Patent No. 622115, French Patent No. 1.215.804 and Wang, F. et al., *IEEE Transactions on Industry Applications*, Vol. 25, No. 3, pp. 436-440 (May-June 1999) also listed in the search report were previously cited to or by the Examiner.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C. P.O. Box 2903 Minneapolis, Minnesota 55402-0903 (612) 332-5300

Date:  $\frac{1}{\sqrt{4\sqrt{6}}}$ 

Thomas J. Strouse Reg. No. 53,950 TJS:PLSkaw

23552

PATENT TRADEMARK OFFICE

Date Mailed: NOVEMBER 14, 2003

MOV 1 8 2003

Sheet 1 of 1

FO	RM	14	49*

# INFORMATION DISCLOSURE STATEMENT

#### IN AN APPLICATION

(Use several sheets if necessary)

Docket Number:	Application Number:
7468.178USWO	09/446,831

Applicant: WOBBEN

Filing Date: 02/17/2000 Group Art Unit: 2834

		U	S. PATENT DOCUME	NTS			
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	4,263,526	04/21/1981	Taguchi et al.				
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		FOR	EIGN PATENT DOCUN	MENTS	<u> </u>	i ,	
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRAN	SLATION
						YES	NO
	54-134309	10/18/1979	JP				X
	63-191864	12/09/1988	JP				X
	7-322537	12/08/1995	JP			X	
	8-322171	12/03/1996	JP			X	
•	43620		·				X
	OTHER	DOCUMENTS	(Including Author, Title,	Date, Pertinent I	Pages, Etc.)		
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EXAMINER DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.